CODE OF ETHICS

This policy supersedes Thruway/Corporation General Policy 25-2-07

GENERAL POLICY

- A. It is the policy of the NYS Canal Corporation ("Canal Corporation") to govern and to have its employees govern themselves in an ethical manner consistent with law, particularly the Ethics in Government Act, specifically, Section 94(15) of the Executive Law, Sections 73, 73-a and 74 of the Public Officers Law and the NYS Joint Commission on Public Ethics' regulations cited in 19 NYCRR (Volume 19 of the Official Compilation of Codes, Rules and Regulations of the State of the New York) Part 930 et seq.
- B. The Canal Corporation recognizes that the maintenance of public confidence in the Canal Corporation and of a high standard of integrity and conduct in Canal Corporation operations is a responsibility of all of its employees.
- C. The Canal Corporation will undertake, consistent with applicable statutes and regulations, such activities as it deems necessary or appropriate to maintain an environment where ethical conduct guides its operations and the conduct of its employees.
- D. Employees, where they have reason to believe that a conflict of interest may exist with their official duties, a particular activity or the Canal Corporation's mission, are responsible for contacting their supervisors and the Ethics & Compliance Office for guidance.
- E. Nothing in the policy shall supersede or negate any laws, rules or regulations pertaining to ethical conduct promulgated by the Federal Government, the State of New York and/or the NYS Joint Commission on Public Ethics.